# GURU NANAK KHALSA COLLEGE FOR WOMEN 



## Supporting Document

Criteria 6.2.2 - The functioning of the institutional bodies is effective and efficient as visible from policies, administrative setup, appointment and senice rules, procedures, etc.

## COLLEGE COMMITTEES/CLUBS/CELLS SESSION 2021-22

## 1(a) ADMISSION \& ANNUAL PLAN COMMITTEE (In Campus)

Co-ordinator: Mrs. SeemaDua

## Members:

> Mrs. Maninder Kaur
$>$ Mrs.Manmeet Kaur
> Mrs.Prabhjot Kaur
$>$ Dr. Kuldeep Kaur
> Dr. Nidhi Sharma
> Ms. Daisy Wadhwa
> Mrs. Surjit Kaur
> Mrs. Gagneetpal Kaur

## DUTIES:

- To draft the Annual Plan of activities for the year 2021-2022 and to incorporate the same in the Prospectus.
- To assist the students and to interact with the parents during admissions.
- To provide proper College Identity Cards to the students after the reopening of the College.
- To file and maintain the records of the admissions and Annual Plan.
- To submit the enrollment records to the IQAC Committee.


## 1(b) ADMISSION COMMITTEE (For Visits to Schools)

## Members:

> Mrs. Prabhjot Kaur
> Dr. Kuldeep Kaur
$>$ Mrs. Seema Dua
$>$ Dr. Neetu Prakash
> Mrs. Punpreet Kaur
$>$ Dr. Nidhi Sharma
> Mrs. Manpreet Kaur
> Mrs. Rajwinder Kaur
> Mrs. Madhu Dhawan
> Mrs. Harpreet Kaur
> Mrs. Kirti Loomba
> Mrs. Surjit Kaur
> Mrs. Anupam Vatsyayan
> Mrs. GagneetpalKaur
> Ms. Daisy Wadhwa
> Mrs. Shikha Kalra

## 2. TIME TABLE COMMITTEE

## Co-ordinator - Mrs. Seema Dua

Members:
> Mrs. Manmeet Kaur
> Dr.Kuldeep Kaur
> Mrs.Jaswinder Kaur
> Dr. Nidhi Sharma
> Dr. Anupam Vatsyayan
> Ms. Daisy Wadhwa
> Dr.Madhu Dhawan

## DUTIES :

- To frame a suitable, clash free time-table for conducting Theory/Practical classes as per University rules.
- To attend to various complaints of clashes in the time-table and make necessary adjustments.
- To maintain the records of the Time-Table framed and submit the same to the IQAC Committee.


## 3. ATTENDANCE COMMITTEE

Co-ordinator - Mrs. Gagneetpal Kaur

## Members:

$>$ Dr. Nidhi Sharma
$>$ Mrs. ManpreetKaur
> Mrs. Shikha Kalra
$>$ Ms. Daisy Wadhwa
> Mrs. Sukhjit

## DUTIES:

- To ensure that daily attendance is recorded by the Lecturers in the prescribed Attendance registers.
- To ensure timely compilation of attendance record by the dealing clerks.
- To ensure periodic display of attendance on the Notice Boards.
- To keep track of regular absentees and counsel them, if required, along with their parents.
- To maintain the records of the attendance compiled and submit the same to the IQAC Committee.


## 4. LIBRARY COMMITTEE

Co-ordinator - Dr. Balbir Kaur

## Members:

> Mrs. Maninder Kaur
> Mrs. Prabhjot Kaur
$>$ Mrs. Jaswinder Kaur
$>$ Dr. Nidhi Sharma
> Mrs. Kirti Loomba
$>$ Dr. AnupamVatsyayan
$>$
Ms. Daisy Wadhwa

## DUTIES:

- To take stock of the existing, newly added and total number of books.
- To arrange talks for students to motivate them to cultivate reading habits.
- To provide digital library facilities with National and International online journals.
- To see that Library building is enabled with Wi-fi facility.
- To see that library issue/ return of books are maintained through software.
- To acquire books for the book bank and distribute to the deserving students.
- To maintain the sanctity of the Library.
- To maintain a record of the services rendered/ activities conducted and submit the same to the IQAC Committee.


## 5. N.S.S. UNIT/ Red Cross Society

## Programme Officers:

$>$ Dr. Neetu Prakash
> Mrs. Satwant Kaur

## DUTIES:

- To plan and execute N.S.S. Programmes for the year.
- To conduct Special N.S.S. camp
- To Organize and celebrate important days of the year
- To distribute the work for the NSS volunteers for maintenance of cleanliness in and around the College.
- To take care of campus beautification and gardening.
- To maintain the records of the activities conducted and submit the same to the IQAC Committee.


## 6.CAREER GUIDANCE/ PLACEMENT / ENTREPRENEUR DEVELOPMENT CELL

Co-ordinators:
$>$ Dr. NeetuPrakash
$>$ Dr. Nidhi Sharma
Mrs. Sukhjit : To provide information and guidance related to career and jobs in Armed forces.

## DUTIES:

- To organize career oriented workshops for the outgoing students.
- To organize coaching classes for competitive exams by inviting experts.
- To conduct awareness programmes on entrepreneurship skills for students.
- To invite experienced academicians, leading professionals with extensive corporate experience
- to address the students and thereby facilitate practical learning.
- To file and submit the records to the IQAC .


## 7. FIELD TRIPS/INDUSTRY VISITS TRANSPORT COMMITTEE

Co-ordinator : Dr. Kuldeep Kaur

## Members:

> Mrs. Prabhjot
$>$ Mrs. SeemaDua
> Mrs. Kirti
$>$ Mrs. Sukhjit

## DUTIES:

- To co-ordinate the Educational Trips and field visits conducted by different Departments.
- To maintain the records of the Study Visits/ Educational Trips conducted and submit the same to the IQAC Committee.


## 8. FIRST AID AND HEALTH WATCH

Co-ordinator - Warden/ Mrs. Sukhwinder

## Members:

$>$ Mrs. Satwant
> Mrs. Sukhjit
$>$ Mr.SurinderSingh (Office Supdt.)

## DUTIES:

- To organize programmes for students and Staff related to Health and First Aid.
- To see that there is a First Aid Room with all the basic facilities in case of emergency.
- To organize Community Welfare programmes. i.e. to involve their participation in Yoga camps etc.
- To guide and help students to develop study habits, resolving personal and emotionaldifficulties \& interpersonal relationships.
- To maintain the records of the activities conducted and submit the same to theIQAC Committee.


## 9.CANTEEN COMMITTEE

Co-ordinator : Mrs. Shikha Kalra

## Members:

> Mrs. RajwinderKaur
> Dr. Madhu Bala

## DUTIES:

- To see that the Canteen services to students / staff are good.
- To fix the rates for the items served in the Canteen and to maintain cleanliness in the Canteen.
- To check the quality of eatables being served to the students in canteen.


## 10. EXAMINATION COMMITTEE

Co-ordinator: Mrs. Prabhjot Kaur
Co-coordinators- All HODs of their departments.

## DUTIES:

- To successfully conduct the House Examinations and prepare the Results.
- To ensure that marks lists are submitted by lecturers to the Examination Section by due dates and the Statement of Marks are given to the Students / Parents within the stipulated time.
- To make inventory of the required Stationery well in advance and put up the requisition for required items
- To prepare course/programme wise distribution of pass percentage and submit the same to the IQAC Committee.


## 11. DISCIPLINE \& ANTI-RAGGING COMMITTEE

Co-ordinator: Mrs. Punpreet Kaur

## Members:

> Mrs. Manpreet Kaur
$>$
Dr. Madhu Dhawan

## DUTIES:

- To initiate timely action against erring students.
- To ensure overall disciplined environment in the College.
- To sensitize students about the evils of ragging and its prevention in the College Campus by organizing talks/ programmes etc.
- To address complaints about ragging as per the Govt. and University procedures.
- To maintain records of the cases investigated and submit the same to the IQAC Committee.


## 12.GRIEVANCE REDRESSAL/ SEXUAL HARASSMENT COMMITTEE

Co-ordinator - Dr. Balbir kaur
Co-Coordinator - Mrs. Manmeet kaur

## DUTIES:

- To attend to the general grievances of the students, public (related to the College), Staff and suggest redressal measures within the framework of College / University / Government rules.
- To instruct the official/s concerned to attend to the grievances.
- To refer / report the matters to the Principal.
- To attend to Students' grievances related to Examination/s and recommend suitable redressal measures.
- To organize several programmes to enhance the confidence level of girl students for their empowerment in the society.
- To celebrate International Women's Day.
- To maintain records of the Grievances redressed/ reported / referred and submit the same to the IQAC Committee.


## 13. Red Ribbon Club/Youth Club

## Incharges :

$>$ Dr. Nidhi Sharma
> Ms Daisy Wadhwa

## DUTIES:

- To conduct activities under Red Ribbon Club and Youth Club
- To file and submit the records to the IQAC .


## 14 .MAGAZINE /PROSPECTUS COMMITTEE

Coordinator: Dr. Kuldip Kaur

## Members

> Dr. Neetu Prakash
> Ms Kirti Loomba
$>$ Dr. AnupamVatsyayan
> Ms. Daisy Wadhwa

## DUTIES:

- To receive the articles / reports from the students/staff and edit the same.
- To ensure that no reports/articles objectionable in nature are published.
- To appoint students as sectional editors.
- To arrange to have photographs of staff and students required for the magazine onCollege Day and on the send-off day.
- To get the magazine printed by April end and distribute the same to students and staff.


## 15. WEBSITE DEVELOPMENT/PUBLIC RELATIONS/ PRESS RELEASE/SOCIAL

## MEDIA COMMITTEE

PRO - Mrs. Kirti
Social Media Manager - Ms Daisy Wadhwa

## Website Incharges:

> Mrs. Kirti
$>$ Mrs. Gagneetpal Kaur
$>$ Ms. Daisy Wadhwa
> Ms. Navneet kaur

## DUTIES:

- To develop and maintain the College Website.
- To disseminate the efforts and the achievements of the College, Students and Staff to the outer world through Website updates, publicity through Media, News Papers, etc.
- To maintain the records of the activities conducted and submit the same to the IQAC Committee.


## 16. INFRASTRUCTURE MAINTENANCE \& DEVELOPMENT COMMITTEE

Co-ordinator : Mrs. Maninder kaur

## Members:

$>$ Mrs. BalbirKaur
$>$ Mrs. Kirti Loomba
$>$ Ms. DaisyWadhwa
$>$ Mrs. Surjit
> Mrs. Shikha Kalra
$>$ Mrs. Harpreet (fine Arts)
$>$ Mr. Akhilesh (Lab Technician cum clerk)

## DUTIES:

- To suggest measures for the safety, development and maintenance of old College building infrastructure.
- To ensure optimal use of the College resources, get defective gadgets repaired.
- To suggest measures to dispose off outdated and unusable items.
- To ensure Computerization /Automation of Library and Administration/Technology upgradation (overall)
- To provide internet facility with Wi-Fi connectivity.
- To provide details of infrastructure facilities to the IQAC Committee.


## 17.HOSTEL COMMITTEE

Co-ordinator: Mrs. Manmeet kaur
Members:
$>$ Dr. Balbir Kaur
$>$ Mrs. Prabhjot Kaur
$>$ Mrs. Seema Dua
$>$ Hostel Warden
$>$ Mrs. Sukhwinder

## DUTIES:

- Act as a bridge between the administration, caterers, hostel authorities on one side and the students on the other
- Facilitate the addressing of issues that the students have and communicate the same to the concerned authorities
- Keeps a check on the daily issues regarding the hostel infrastructure, the housekeeping issues etc.
- Caters to the generic issues related to campus.


## 18. MORNING ASSEMBLY/ PATH COMMITTEE

Co-ordinator: Mrs. Prabhjot Kaur
Members:
> Dr..Balbir Kaur
> Mrs. Manmeet Kaur
$>$ Dr. Kuldeep Kaur
> Mrs. Manpreet Kaur
> Mrs. Gagneetpal Kaur
$>$ Mrs. Inderjeet(Pbi)
> Ms. Shalini,
> Ms. Anju Kapoor
> Mrs. Harinder (Library)

## DUTIES:

- To conduct morning assembly to seek blessings of Almighty
- To make important announcements in morning assembly
- To organize path on various occasions and make necessary arrangements for its smooth conduct.


## 19. HOSPITALITY TEAM

Co-ordinator: Mrs. ShikhaKalra
Members
$>$ Mrs. Harpreet (Fine Arts)
$>$ Mrs. Shikha Bajaj
> Mrs. Amarpreet Kaur
DUTY:

- To manage and control the refreshment part in all functions of the college.


## 20. AUDITORIUM / SEMINAR HALL COMMITTEE

 INCHARGES:$>$ Auditorium Incharge - Hostel Warden
$>$ Seminar hall Incharge - Ms Daisy, Mrs. Satwant

## DUTY:

- To update and maintain the infrastructure assigned.


## 21. IQAC / NAAC

Co- ordinator: Dr. Kuldeep Kaur
Co-coordinator : Dr. AnupamVatsyayan

## DUTIES:

- To present Annual Plan
- To document and file records of all departments.
- Development of quality benchmarks/parameters for various academic and administrative activities of the college.
- Facilitating the creation of a learner-centric environment conducive to quality education.
- Dissemination of information on various quality parameters of higher education;
- Documentation of the various programmes/activities leading to quality improvement;
- Acting as a nodal agency of the Institution for coordinating quality-related activities, including adoption and dissemination of best practices;
- Development and maintenance of institutional database through MIS for the purpose of maintaining /enhancing the institutional quality;
- Preparation of the Annual Quality Assurance Report (AQAR) and submit to NAAC.


## 22. SCHOLARSHIP/FEE CONCESSION COMMITTEE

Co- ordinators: Dr. Balbir Kaur Mrs. Manmeet Kaur
Members:
> Mrs. Jaswinder Kaur
$>$ Mrs. Seema Dua
$>$ Dr. Madhu Bala

## DUTY:

- To give concessions to the needy, deserving, meritorious, fatherless students


## 23. NCC

ANO: Mrs. Sukhjit

## 24. YOUTH WELFARE DEPARTMENT

Co-ordinator: Mrs. JaswinderKaur
Members:
> Mrs. PrabhjotKaur
$>$ Dr. KuldeepKaur
$>$ Mrs. SeemaDua
> Mrs. PunpreetKaur
> Mrs. GagneetpalKaur
$>$ Mrs. HarpreetKaur (F. Arts)
> Mrs. ShikhaKalra
> Mrs. Shikha Bajaj

## DUTY:

- To Prepare the students for youth festivals, Inter-college, Intra-College Competitions

To Maintain Records of Invitations -Mrs. PunpreetKaur, Mrs. GagneetpalKaur

## 25.GREEN CLUB/ECO CLUB

Co-ordinator: Dr. ParveenArora

## Members:

> Dr. MadhuBala
> Mrs. ManpreetKaur
> Mrs. RajwinderKaur
> Ms. SukhjitKaur

## DUTIES

- To work towards preservation of environment.
- To promote participation of students in working towards the conservation and sustainability of environment.
- To organize various activities which can bring environment awareness in students and motivate them to act as responsible citizens.
- To organize plantation drivesand celebrate Environment day, Earth day ,Van Mahotsav week etc and make humble contribution to the environment.


## 26. STAFF WELFARE COMMITTEE

## Staff Secretaries

$>$ Mrs. Punpreet Kaur
$>$ Dr. Madhu Dhawan

## DUTIES

- Maintain high moral standards by looking after the needs of the staff
- Plan for general welfare activities for teaching and administrative staff.
- Build a healthy working environment and foster good relationship among the staff.
- Collect and compile databases of faculty and staff working in the campus.
- Provide opportunities for attending various workshops, seminars, symposiums, and conferences conducted by various institutions and agencies in and outside the locality /region/state.
- Plan and organize regular programs and activities for the Faculty Development Programs (FDPs) / Faculty Improvement Programmes (FIPs) and Staff (Administrative)Development Programs.
- Organize staff motivational / recreational activities such as, Felicitations, Picnics, etc.
- Represent the staff and forward cases of complaints and grievances of staff to the Management Committee
- Administer the Staff Welfare Fund and ensure its proper use.
- Organize Welcome/ Farewell parties for the faculty.
- Maintain the records and file all the activities conducted and submit the same to the IQAC Committee.


## 27.ACADEMIC AUDIT COMMITTEE

## Members : All HODs of their departments

## 28.ADMINISTRATIVE AUDIT COMMITTEE

## Members:

$>$ Mr. Surinder Singh Superintendent
$>$ Mrs. Prabhjot Kaur - Controller of Examination.

## 29. RESEARCH COMMITTE

## Coordinator Dr. Neetu Prakash

Members:
$>$ Dr. Nidhi Sharma
$>$ Mrs. Punpreet Kaur
> Mrs. Manpreet Kaur
> Mrs. Rajwinder Kaur
$>$ Mrs. Madhu Bala
> Dr. AnupamVatsyayan

## 30. UGC CO-ORDINATOR

> Dr. Nidhi Sharma

## 31. SPORTS COMMITTEE

Coordinator: Mrs Prabhjot Kaur
Members:
> Mrs. Rajveer Kaur
$>$ Mrs. Surjit Kaur

## 32. Buddy Committee

Coordinator : Dr. Parveen Arora
Co-cordinator:Dr. Madhu Dhawan

## DUTY:

- Organize Community Involvement programs specific to Buddy Program.


## 33. PURCHASE

## Members:

> Dr..Balbir Kaur
> Mrs. Seema Dua

## DUTIES:

- To scrutinise the indents put forth by the Depts./ Labs. /Committees/and various sections of the College from time to time.
- To supervise the process of finding out the suppliers, inviting quotations, preparation of comparative statements, placing of orders and settlement of bills following relevant rules.
- To maintain the records of the purchase and submit the same to the IQAC Committee.


## 34. LEGAL LITERACY CLUB

Coordinator: Dr. Parveen Arora

## Members:

> Mrs. Rajwinder Kaur
$>$ Ms. Sukhjit Kaur

## DUTIES:

- To create awareness among students about their constitutional rights, duties and legal obligations.
- To involve students in various programs related to legal literacy so that play more effective and helpful role in educating people regarding various laws, schemes, programmes and current issues.
- To spread awareness about legal rights and free legal services provided by PSLA (Punjab State Legal Authority).


## 35. ALUMNI ASSOCIATION

Co-ordinator : Mrs. Rajwinder Kaur
Members:
$>$ Mrs. Prabhjot Kaur
$>$ Dr. (Mrs.) Kuldeep Kaur
$>$ Mrs. Manpreet Kaur
> Ms. Daisy Wadhwa
> Mrs. Shikha Kalra
$>$ Mrs. Surjit

## 36. MENTORING/TUTORIAL COMMITTEE

Coordinator: Dr. Madhu Dhawan
Co-Coordinator : Mrs. Manpreet Kaur
> Dr. Madhu Bala
> Mrs Manpreet Kaur

## DUTIES:

- Mentoring and counseling inside the campus.
- Myriad activities to channelize the energy of the youth through various clubs and societies


## 37. PARENTS TEACHERS ASSOCIATION

Coordinators: Mrs. Manpreet Kaur
Co-Coordinator : Dr. Madhu Dhawan

## Members:

$>$ Mrs. Jaswinder Kaur
$>$ Mrs. Seema Dua
$>$ Dr. Nidhi Sharma
> Mrs Surjit Kaur
$>$ Mrs. Gagneetpal Kaur

## DUTIES:

$>$ Welcome and introduction of teachers and parents.
$>$ To provide information about various student-oriented activities and schemes run at the college level as well as departmental level.
$>$ To discuss both the strengths and areas of improvement in the performance of students.
$>$ To take Suggestions from parents.

## 38. STUDENT WELFARE ASSOCIATION

Coordinator: Dr. ParveenArora

## Members:

> Mrs. JaswinderKaur
$>$ Mrs. SeemaDua
> Mrs. RajwinderKaur
$>$ Mrs. MadhuBala
> Mrs. GagneetpalKaur

## DUTIES:

- To form Student Welfare Association of the college which begins functioning after the Investiture \& Oath Ceremony.
- To ensure that members of central association perform regular duties for discipline and cleanliness in free periods as per the allotment by the office bearers in consultation with the teachers.
- To see that student members perform various duties assigned to them during all the major functions of the college.
- Organize events like Lohri Celebration, Talent Hunt and other festivals and celebrations independently in consultation with the teachersincharge.
- Manage relief activities like collection of donations and funds from the students for national calamities like floods, earthquakes etc.
- Assist in obtaining and filling up of feedback forms from the students regarding various academic and co-academic aspects of the college.
- Help in spreading information about new courses and other reforms and changes taking place in the college to the students as well as the masses.


## 39. SAP (Swachhta Action Plan) COMMITTEE

Coordinator: Dr. Parveen Arora
Members: All heads

## 40. GENDER AND EQUAL OPPORTUNITY CELL

Coordinator : Dr. Neetu Prakash
> Mrs. RajwinderKaur
$>$ Mrs. Rajwinder Kaur
$>$ Mrs. Satwant Kaur
$>$ Mrs. Surjit Kaur

## 41. BOOK CLUB

Coordinator: Dr. (Mrs.) BalbirKaur
Members :
$>$ Mrs. PrabhjotKaur
$>$ Dr. KuldeepKaur
$>$ Mrs. JaswinderKaur
$>$ Mrs. Gagneetpal Kaur
$>$ Ms. Daisy Wadhwa
42. STAFF ROOM MAINTENANCE

PG Staff Room
Common Staff Room
Commerce Staff Room Social Sc. Staff Room Home Science Labs
Seminar Room \& Common Room Prayer Room

Mrs. GagneetpalKaur
Mrs. KirtiLoomba
Mrs. ManpreetKaurSarna , Mrs. RajwinderKaur Dr. (Mrs.) Madhu
Mrs. Shikha Bajaj
Mrs. RajwinderKaur, Ms. SukhjitKaur
Mrs. BalbirKaur , Mrs. HarvinderKaur (Lib)
43. BURSAR

Mrs. Maninder Kaur


Dr. Nidhi Sharma
Coordinator


Dr. Maneeta Kahlon
Principal
(Clubs and Committees)

## SELF ASSESSMENT PROFORMA FOR COLLEGE LECTURERS

 (To be filled in every year towards the close of academic session)Year of Assessment $\qquad$

I Basic Information
i) Name of the College : Guru Nanak Khalsa College for Women, Gujarkhan

Campus, Gujarkhan Road, Model Town, Ludhiana
ii) Region in which situated/urban/rural: Urban
iii) Name of the university to which it is affiliated: Panjab University, Chandigarh.
iv) Name of the Lecturer :
v) Qualification of the Lecturer: $\qquad$
vi) Subject \& Faculty
vii) Designation
viii) Date of Birth :
ix) Date of Joining of the College: $\qquad$
x) Teaching Experience at the College Level: Year $\qquad$ Month $\qquad$
2. Course Taught and Work Load Number of Hours per week:

| Lectures | Tutorials <br> /Perceptorials | Practicals | Titles of Courses <br> taught |
| :--- | :--- | :--- | :--- |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

i) Undergraduate
ii) Postgraduate
3. Teaching Methods applied:
(Name and describe new teaching methods used if any)(besides lecture method) i.e.
i) Distributing lecture, synopsis and bibliography $\qquad$
ii) Encouraging question in class $\qquad$
iii) Announcing topics for discussion in advance $\qquad$
iv) Holding seminars $\qquad$
v) Use of audio visual aids $\qquad$
4. Contribution to COSIP \& DOHSSIP SCHEME, if it exists in the college or through
U.B.P. $\qquad$
5. i) Teaching Methods $\qquad$
ii) Evaluation Techniques $\qquad$
iii) Courses development etc. $\qquad$
6. Academic and Professional Growth: (During the year)
i) Research qualification acquired:-
ii) Research Projects undertaken:-
iii) Research papers published indicating Titles and names of journals in which published:- $\qquad$
iv) Guidance rendered to Research Scholars: $\qquad$
v) Participation in seminars workshops and conference during the year.
vi) Participation in orientation Programme Refresher courses etc. $\qquad$
vii) Any other type of training $\qquad$
7. Participation in Extra - curricular activity
a. Extra curricular activities Debates, Cultural activities counseling to Students, Planning forum, NSS, NCC, Scouting etc.
b. Service to community Adult-Education.

Extension service etc.
8. Help in college administration by membership of various committees such as Discipline Committee Admission Committees, Students Welfare Committee etc. $\qquad$
9. Allotted Any other examination work such as conduct/evaluation by theUniversity / Board .
10. Any other information about this contribution (Not conveyed above) relevant toproper assessment of activities.

Evaluation of the senior most lecturer of the Deptt. or head of the Deptt. /Principal.

Item Factual Verification Evaluation
Correct Exaggerated Excellent very good Good Average Poor.
1.
2.
3.
4.
5.
6.
7.

8
9.
10. General observation

> Signature of the head Deptt.

Incharge of the Deptt.


# Guru Nanak Khalsa College for Women 

Gujarkhan Campus, Model Town, Ludhiana

## Performance Appraisal Form for Non-Teaching Staff 2021-2022

1. Name of the Faculty
2. Position Title
3. Date of Entry into Service
4. No. of Years in Service
5. Date of Retirement
6. Qualification
7. Details of Current Responsibilities

## I. PROFESSIONAL COMPETENCE

| Sl. <br> No. |  | Excellent | Good | Satisfactory | Average | Poor |
| :---: | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | Knowledge of rules, regulationand <br> procedure |  |  |  |  |  |
| 2 | Ability to organize work andcarry it <br> out |  |  |  |  |  |
| 3 | Ability and willingness to takeup <br> additional load in times of <br> exigencies |  |  |  |  |  |
| 4 | Creativity and innovation |  |  |  |  |  |
| 5 | Ability to learn and performnew <br> duties |  |  |  |  |  |
| 7 | you possess good knowledge(theory, <br> hands on)for all aspects of the job to <br> perform your job functions <br> Satisfactorily? |  |  |  |  |  |

## II. PERFORMANCE

| Sl. <br> No. |  | Excellent | Good | Satisfactory | Average | Poor |
| :---: | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | Awareness of policies and <br> procedures of the institution? |  |  |  |  |  |
| 2 | Maintenance of Files/Records |  |  |  |  |  |
| 3 | Accuracy \& Speed of work |  |  |  |  |  |
| 4 | Neatness \& tidiness of work |  |  |  |  |  |
| 5 | Completion of work onschedule |  |  |  |  |  |
| 6 | Diligence and sense ofresponsibility |  |  |  |  |  |

## III. PERSONAL CHARACTERISTICS

| Sl. <br> No. |  | Excellent | Good | Satisfactory | Average | Poor |
| :---: | :--- | :--- | :---: | :---: | :---: | :---: |
| 1 | Attendance |  |  |  |  |  |
| 2 | Punctuality |  |  |  |  |  |
| 3 | Discipline |  |  |  |  |  |
| 4 | Integrity and behaviour |  |  |  |  |  |

## IV. ATTITUDE TOWARDS CO-WORKERS

| Sl. <br> No. |  | Excellent | Good | Satisfactory | Average | Poor |
| :---: | :--- | :--- | :---: | :---: | :---: | :---: |
| 1 | Cooperation with yourcolleagues? |  |  |  |  |  |
| 2 | Mutual motivation with your <br> colleagues? |  |  |  |  |  |

## V. ATTITUDE TOWARDS PUBLIC

| Sl. <br> No. |  | Excellent | Good | Satisfactory | Average | Poor |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Cooperation to the needs of the public(Parents, Business Associates, <br> Vendors, Well <br> Wishers of the College)? |  |  |  |  |  |
| 3 | Rapport with the public whenyou interact with them? |  |  |  |  |  |

## VI. STAFF/STUDENT RELATIONS

| Sl. <br> No. |  | Excellent | Good | Satisfactory | Average | Poor |
| :---: | :--- | :---: | :---: | :---: | :---: | :---: |
| 1 | Ability to engage, motivate, <br> supervise, and effectively work <br> in the interest of students? |  |  |  |  |  |
| 3 | Responsibility towards your <br> tasks/ areas of managementassigned <br> to? |  |  |  |  |  |

## Declaration

I hereby declare that the information provided is true to the best ofmy knowledge.

Place:-
Date:-
Name and Signature of the non-teaching staff

## Countersigned by the Head of the Institution

## Institution Performance Appraisal System: Sample of Assessment Performa of Teaching Staff

## UNIVERSITY GRANTS COMMISSIONNOTIFICATION

New Delhi, the 11th July, 2016
No.F.1-2/2016(PS/Amendment) -In exercise of the powers conferred under clauses (e) and (g) of sub-section
(1) of Section 26 of University Grants Commission Act, 1956 (3 of 1956), the University Grants Commission hereby frames the following amendment Regulations, namely :-

1. Short title, application and commencement:
1.1 These Regulations may be called the University Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education) (4 ${ }^{\text {th }}$ Amendment), Regulations, 2016.
1.2 They shall apply to every University established or incorporated by or under a Central Act, Provincial Act or a State Act, every institution including a constituent or an affiliated College recognized by the Commission, in consultation with the University concerned under clause (f) of Section 2 of the University Grants Commission Act, 1956 (3 of 1956) and every Institution Deemed to be a University under Section 3 of the said Act.
1.3 They shall come into force with immediate effect from the date of their publication in the Official Gazette.
2. The following regulations in the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of standards in higher education) Regulations, 2010 shall stand amended and be read as under:-

| Regulation | Existing provisions in Principal Regulations on Minimum Qualifications for | Amended provisions in principal Regulations on Minimum Qualifications for |
| :---: | :---: | :---: |
|  | Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2010 | Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2010 |
| 3.4.1 | A relaxation of $5 \%$ may be provided at the graduate and Master's level for the Scheduled Castes/Scheduled Tribes/Differently-abled (physically and visually differently-abled) categories for the purpose of eligibility and for assessing good academic records during direct recruitment to teaching positions. The eligibility marks of $55 \%$ marks (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of $5 \%$ to the categories mentioned above are permissible, based on only the qualifying marks without including any grace mark procedures. | A relaxation of $5 \%$ may be provided at the graduate and Masters level for the Scheduled Castes/Scheduled Tribes/Differently-abled (physically and visually differently-abled) /Other Backward Classes (OBC) (Non-creamy layer) categories for the purpose of eligibility and for assessing good academic records during direct recruitment to teaching positions. The eligibility marks of $55 \%$ marks (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of $5 \%$ to the categories mentioned above are permissible, based on only the qualifying marks without including any grace mark procedures. |
| 8.2.1 of Schedule for clause 6.8.0 | The posts of Vice-Chancellor shall carry a fixed pay of Rs.75,000/- alongwith a special pay of Rs.5,000/- per month. All other eligibility and facilities for the Vice-Chancellor as provided in the Act/Statutes of the University concerned shall be applicable besides the pay. | The post of Vice-Chancellor shall carry a fixed pay of Rs.75,000/- alongwith a special allowance of Rs.5,000/- per month. All other eligibility and facilities for the Vice-Chancellor as provided in the Act/Statutes of the University concerned shall be applicable besides the pay. |
| 5.1.6 (d) | The term of appointment of the College Principal shall be FIVE years with eligibility for reappointment for one more term only after a similar Selection Committee process. | The term of appointment of the College Principal shall be five years with eligibility for reappointment for one more term only after a similar Selection Committee process which shall take into account an external peer review, its recommendations and its outcomes. The framework of the external peer review shall be specified by the UGC. |
| 6.0.5(i) | Besides the indexed publications documented by various discipline-specific databases, the University concerned shall draw through committee(s) of subject experts and ISBN/ISSN experts : (a) a comprehensive list of National/Regional level journals of quality in the concerned subject(s); and (b) a comprehensive list of Indian language journals/periodicals/official publication volumes of language bodies and upload them on the University website which are to be updated periodically. | The University shall identify the journals subject-wise through subject expert committees and forward the recommendations to UGC in the format prescribed by UGC for approval of the UGC Standing Committee. The journals approved from this list, by the UGC Standing Committee, shall be included in the -List of Journals\\| notified by the UGC. The UGC Standing Committee shall give its recommendations within 60 working days of the receipt of the list from the University. The UGC Standing Committee may also, suomotu, recommend journals for inclusion in the -List of Journalsl. |

3. The proviso prescribed under Regulation 3.3.1, 4.4.1, 4.4.2, 4.4.2.2, 4.4.2.3, 4.5.3 and 4.6 .3 in the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of standards in higher education) (3th Amendment) Regulations, 2016 regarding exemption to the candidates registered for Ph.D. programme prior toJuly 11, 2009 shall stand amended and be read as under:-
-Provided further, the award of degree to candidates registered for the M.Phil/Ph.Dprogramme prior to July 11, 2009, shall be governed by the provisions of the then existing Ordinances/Bylaws/Regulations of the Institutions awarding the degree and the Ph.D candidates shall be exempted from the requirement of NET/SLET/SET for recruitment and appointment of Assistant Professor or equivalent positions in Universities/Colleges/Institutions subject to the fulfillment of the following conditions:-
a) Ph.D. degree of the candidate awarded in regular mode only;
b) Evaluation of the Ph.D. thesis by at least two external examiners;
c) Open Ph.D. viva voce of the candidate had been conducted;
d) Candidate haspublished two research papers from his/her Ph.D. work out of which at least one must be in arefereed journal;
e) Candidate has made at least two presentations in conferences/seminars, based on his/her Ph.D work.
(a) to (e) as above are to be certified by the Vice-Chancellor/Pro-Vice-Chancellor/Dean(Academic Affairs)/Dean(University instructions).||
4. The second proviso prescribed under Regulation 6.0.1 in the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of the standards in higher education) (2 ${ }^{\text {nd }}$ Amendment) Regulations, 2013 shall be substituted with the following: -
"Provided also that the API score claim of each of the sub-categories in the Category III (Research and Academic Contributions) shall not have a cap except for the sub-category of invited lectures/papers."
As a consequence, the table at Regulation 6.0.1 of the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of the standards in higher education) ( $2^{\text {nd }}$ Amendment) Regulations, 2013 stands deleted.
5. Student Feedback is an integral part of the institutional and academic development of higher educational institutions and in fostering quality. Student feedback and teacher response plays a catalytic role towards improvement in teaching-learning and institutional development. Feedback from students on teaching, delivery, methodology and pedagogy is pivotal with a view to enhancing clarity of concepts, subject understanding and developing and deepening an interest in the academic discipline. Universities and Colleges should encourage teachers to assist students in providing constructive feedback on teaching-learning in order to enhance quality education and in responding to the feedback.
6. Tables-I,II(A),II(B),III,IV,V(A),V(B),VI,VII,VIII(A),VIII(B) and IX of Appendix-III of the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of standards in higher education) ( ${ }^{\text {th }}$ Amendment) Regulations, 2016 shall be substituted with Appendix-III : Tables-I,II(A),II(B),III,IV,V(A),V(B),VI,VII,VIII(A),VIII(B) and IX appended to these $4^{\text {th }}$ Amendment Regulations.

> Prof. (Dr.) JASPAL SINGH SANDHU, Secy.
> [ADVT III/4/Exty./113(165)]

## APPENDIX - III: TABLE I

ACADEMIC PERFORMANCE INDICATORS (API) FOR CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS FOR ASSISTANT PROFESSOR, ASSOCIATE PROFESSOR AND PROFESSOR AND FORDIRECT RECRUITMENT OF ASSOCIATE PROFESSOR AND PROFESSOR IN UNIVERSITIES AND COLLEGES.

|  | Direct Teaching Hours per week |
| :---: | :---: |
| Assistant Professor | 16 |
| Associate Professor | 14 |
| Professor | 14 |

Based on the teacher's self-assessment, API scores are proposed for (a) teaching related activities; domain knowledge; (b) participation in examination and evaluation; and (c) contribution to innovative teaching, new courses etc. The minimum API score required by teachers from this category is different for different levels of promotion. The self- assessment score should be based on objectively verifiable records. It shall be finalized by the screening cum evaluation / selection committee. Universities may detail the activities, in case institutional specificities require, adjust the weightages without changing the minimum total API scores required under this category.

CATEGORY I: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES

| Category | Nature of Activity | Assistant Professor |  | Associate Professor |  | Professor |  |
| :--- | :--- | :---: | :--- | :--- | :--- | :--- | :--- |
|  |  | Max. <br> Score | Actual Score | Max. <br> Score | Actual Score | Max. <br> Score | Actual Score <br> I a. Direct Teaching |
|  | $\mathbf{7 0}$ | Actual hours <br> spent per | $\mathbf{6 0}$ | Actual hours <br> spent per | $\mathbf{6 0}$ | Actual hours <br> spent per |  |


|  |  |  | academic year <br> $\div 7.5$ |  | academic year <br> $\div 7.75$ |  | academic year <br> $\div 7.75$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | b. Examination duties <br> (question paper setting, <br> Invigilation, evaluation of <br> answer scripts) as per <br> allotment | 20 | Actual hours <br> spent per <br> academic year <br> $\div 10$ | 20 | Actual hours <br> spent per <br> academic year <br> $\div 10$ | 10 | Actual hours <br> spent per <br> academic year <br> $\div 10$ |
|  | c. Innovative Teaching - <br> learning methodologies, <br> updating of subject <br> contents/courses, mentoring <br> etc. | 10 | Actual hours <br> spent per <br> academic year <br> $\div 10$ | 15 | Actual hours <br> spent per <br> academic year <br> $\div 10$ | 20 | Actual hours <br> spent per <br> academic year <br> $\div 10$ |

## Note:

1. Direct Teaching 16/14/14 hours per week include the Lectures/Tutorials/Practicals/Project Supervision/Field Work. .
2.University may prescribe minimum cut-off, say $75 \%$, below which no scores may be assigned in these sub-categories.
3.In consonance with established academic and teaching traditions, and with a view to reinforcing a student-centric and caring approach the teachers are encouraged to work with students, beyond the structure of classroom teaching. Indicatively, this could entail mentoring, guiding and counseling students. In particular teachers would be the best placed to identify and address the needs of students who may be differently abled, or require assistance to improve their academic performance, or to overcome a disadvantage. There are no prescribed hours for such efforts, measured either in weeks or months, or in the context and calculation of the API scores, these are nevertheless important and significant activities that could be carried out by teachers.

## CATEGORY II: PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES

Based on the teacher's self-assessment, Category II API scores are proposed for Professional development, cocurricular and extension activities; and related contributions. The minimum API required by teachers for eligibility for promotion is fixed in Table II (A). A list of items and scores is given below. The self-assessment score should be based on objectively verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and selection committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

The model table below gives groups of activities and API scores. Universities may detail the activities or, in case institutional specificities require, adjust the weightages without changing the minimum total API score required under this category.

| Cate- <br> gory <br> II | Nature of Activity | Maximum <br> API Score | Actual score |
| :---: | :--- | :--- | :---: |
| a. | Student related co-curricular, extension and field based activities. <br> (i) Discipline related co-curricular activities (e.g. remedial classes, career <br> counselling, study visit, student seminar and other events.) <br> (ii) Other co-curricular activities (Cultural, Sports, NSS, NCC etc.) <br> (iii) Extension and dissemination activities (public /popular <br> lectures/talks/seminars etc.) | 15 | Actual hours <br> spent per <br> academic year <br> $\div$ |
|  | Contribution to corporate life and management of the department and <br> institution through participation in academic and administrative committees <br> and responsibilities. <br> i). Administrative responsibility (including as Dean / Principal / Chairperson / <br> Convener / Teacher-in-charge/similar other duties that require regular office <br> hrs for its discharge) <br> (ii). Participation in Board of Studies, Academic and Administrative <br> Committees | 15 | Actual hours <br> spent per <br> academic year <br> $\div$ <br> b. |
| c. | Professional Development activities (such as participation in seminars, <br> conferences, short term training courses, industrial experience, talks, lectures |  |  |


|  | in refresher / faculty development courses, dissemination and general articles |
| :--- | :--- | :---: | :---: |
| and any other contribution) | academic year |
| $\div$ | 10 |

## CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

Based on the teacher's self-assessment, API scores are proposed for research and academic contributions. The minimum API scores required for teachers from this category are different for different levels of promotion in universities and colleges. The self-assessment score shall be based on verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and Selection Committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

| Category | Activity | Faculty of Sciences / <br> Engineering / Agriculture / <br> Medical / Veterinary Sciences | Faculties of Languages / <br> Humanities / Arts / Social Sciences <br> / Library / Physical education / <br> Management | Maximum score for University / College teacher* |
| :---: | :---: | :---: | :---: | :---: |
| III (A) | Research Papers published in: | Refereed Journals as notified by the UGC\# | Refereed Journals as notified by th UGC\# | 25 per Publication |
|  |  | Other Reputed Journals as notified by the UGC\# | Other Reputed Journals as notified by the UGC \# | 10 per Publication |
| III (B) | Publications other than journal articles (books, chapters in books) | Text/Reference, Books published by International Publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. | Text/Reference Books, publishe by International Publishers, with ISBN/ISSN number as approved b the University and posted on it website. The List will be intimate to UGC. | 30 per Book for Single Author |
|  |  | Subject Books, published by National level publishers, with ISBN/ISSN number or State Central Govt. Publications as approved by the University and posted on its website. The Lis will be intimated to UGC. | Subject Books, published National level publishers, ISBN/ISSN number or State Central Govt. Publications approved by the University and posted on its website. The List wil be intimated to UGC. | 20 per Book for Single Author |
|  |  | Subject Books, published by Other local publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. | Subject Books, published by Oth local publishers, with ISBN/ISSN number as approved by th University and posted on it website. The List will be intimate to UGC. | 15 per Book for Single Author |
|  |  | Chapters in Books, published by National and International level publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. | Chapters in Books, published by National and International level publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. | International - 10 <br> per Chapter <br> National - 5 per <br> Chapter |
| III (C) | RESEARCH PROJECTS |  |  |  |
| $\begin{aligned} & \text { III (C) } \\ & \text { (i) } \end{aligned}$ | Sponsored Projects | (a) Major Projects with grants above Rs. 30 lakhs | Major Projects with grants <br> above Rs. 5 lakhs 20 <br>   | 20 per Project |
|  |  | (b) Major Projects with grants above Rs. 5 lakhs up to Rs. 30 lakhs | Major Projects with grants above Rs. 3 lakhs up to Rs. 5 lakhs | 15 per Project |
|  |  | (c) Minor Projects with grants above Rs. 1 lakh up to Rs. 5 lakhs | Minor Projects with grants above Rs. 1 lakh up to Rs. 3 lakhs | 10 per Project |
| $\begin{aligned} & \text { III (C) } \\ & \text { (ii) } \end{aligned}$ | Consultancy <br> Projects | Amount mobilized with a minimum of Rs. 10 lakhs | Amount mobilized with a minimum of Rs. 2 lakhs | 10 for every Rs. 10 lakhs and Rs. 2 lakhs, |


|  |  |  |  | respectively |
| :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { III (C) } \\ & \text { (iii) } \end{aligned}$ | Projects <br> Outcome / <br> Outputs | Patent / Technology transfer / <br> Product / Process | Major Policy document prepared for international bodies like <br> WHO/UNO/UNESCO/UNICEF etc. Central / State Govt./Local Bodies | 30 for each International <br> 20 for each national level output or patent. <br> Major policy document of <br> International bodies - 30 <br> Central Government - 20, <br> State Govt.-10 <br> Local bodies - 5 |
| $\begin{aligned} & \hline \text { III } \\ & \text { (D) } \end{aligned}$ | RESEARCH GUIDANCE |  |  |  |
| III(D)(i) | M.Phil. | Degree awarded | Degree awarded | 5 per candidate |
| $\mathrm{III}(\mathrm{D})$ <br> (ii) | Ph.D. | Degree awarded / Thesis submitted | Degree awarded / Thesis submitted | 15/10 per candidate |
| III E | Fellowships, Awards and Invited lectures delivered in conferences / seminars |  |  |  |
| $\begin{aligned} & \mathrm{III}(\mathrm{E}) \\ & \text { (i) } \end{aligned}$ | Fellowships/ <br> Awards | International Award/Fellowship from academic bodies | International Award / Fellowship from academic bodies/associations | 15 per Award / 15 per Fellowship |
|  |  | National Award/Fellowship from academic bodies | National Award/Fellowship from academic bodies/associations | 10 per Award / 10 per Fellowship |
|  |  | State/University level Award from academic bodies | State/University level Award from academic bodies/associations | 5 Per Award |
| $\operatorname{III}(\mathrm{E})$ <br> (ii) | Invited lectures / papers | International | International | $\begin{aligned} & 7 \text { per lecture / } \\ & 5 \text { per paper } \\ & \text { presented } \end{aligned}$ |
|  |  | National level | National level | 5 per lecture / <br> 3 per paper presented |
|  |  | State/University level | State/University level | $\begin{aligned} & 3 \text { per lecture / } \\ & 2 \text { per paper } \\ & \text { presented } \end{aligned}$ |
|  | The score under this sub-category shall be restricted to $20 \%$ of the minimum fixed for Category III for any assessment period |  |  |  |
| III(F) | Development of e-learning delivery process/material |  |  | per module |

* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points;
(iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points: (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally $70 \%$ of the total points and the remaining $30 \%$ would be shared equally by all other authors.
\# The University shall identify the journals subject-wise through subject expert committees and forward the recommendations to UGC in the format prescribed by UGC for approval of the UGC Standing Committee. The journals approved from this list, by the UGC Standing Committee, shall be included in the -List of Journalsll notified by the UGC. The UGC Standing Committee shall give its recommendations within 60 working days of the receipt of the list from the University. The UGC Standing Committee may also, suo-moto, recommend journals for inclusion in the -List of Journalsll. The clause 6.0 .5 (i) will be strictly followed by the University.


## APPENDIX - III TABLE - II (A)

## MINIMUM APIS AS PROVIDED IN APPENDIX - III TABLE I TO BE APPLIED FOR THE PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEME (CAS) IN UNIVERSITY DEPARTMENTSAND COLLEGES, AND WEIGHTAGES FOR EXPERT ASSESSMENT

| Category | Activity | Assistant <br> Professor / <br> equivalent | Assistant <br> Professor / <br> equivalent | Assistant Professor <br> (Stage 3) to Assoc. <br> Professor/equivalent | Associate <br> Professor <br> (Stage 4) to | Professor <br> (Stage 5) to |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |


|  |  | cadres: (Stage <br> 1 to Stage 2) | cadres: (Stage <br> 2 to Stage 3) | cadres (Stage 4) | Professor /equivalent cadres (Stage 5) | Professor (Stage 6) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| I | Teaching-learning, Evaluation Related Activities | 80/Year | 80/year | 75/year | 70/year | 70/year |
| II | Professional Development and Extension activities - Minimum score required to be assessed cumulatively | $\quad 10 /$ <br> Assessment <br> period | $50 /$ Assessment period | $50 /$ Assessment period | $50 /$Assessment <br> period | $100 /$ Assessment period |
| III | Research and Academic ContributionsMinimum Score required - to be assessed cumulatively | 20 / <br> Assessment period | $50 /$ Assessment period | $75 \text { / }$ <br> Assessment period | $100 /$Assessment <br> period | $400 /$ Assessment period |
| II + III | Minimum total API score under Categories II and III* | $\quad \quad 90 /$ <br> Assessment <br> period | $120 /$ Assessment period | $150 /$ <br> Assessment period | $180 /$Assessment <br> period | $\quad 600 /$ <br> Assessment <br> period |
| IV | Expert <br> Assessment System | Screening cum evaluation committee | Screening cum evaluation committee | Selection Committee | Selection Committee | Expert Committee |
| V | Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage $=100$. Minimum required for promotion is 50) | No separate points. <br> Screening committee to verify API scores | No separate points. <br> Screening <br> Committee to verify API scores | 30\% - Research Contribution $50 \%$ - Assessment of domain knowledge \& teaching practices. <br> 20\% - Interview performance | $50 \%$ Research Contribution. $30 \%$ Assessment of domain knowledge \& teaching practices. $20 \%$ - Interview performance | 50\% <br> Research <br> Contribution. <br> 50\%- <br> Performance evaluation and <br> other credential by referral procedure |

[^0]
## APPENDIX - III TABLE - II(B)

Minimum Scores for APIs for direct recruitment of teachers in university departments / Colleges and weightages in Selection Committees to be considered along with other specified eligibility qualifications stipulated in the Regulation.

|  | Assistant Professor <br> (Stage 1) | Associate Professor (Stage 4) | Professor (Stage 5) |
| :--- | :--- | :--- | :--- |
| Minimum API | Minimum | Consolidated API score requirement | Consolidated API score |


| Scores | Qualification as stipulated in these regulations | of 300 points from categories II \& III of APIs (cumulative) | requirement of 400 points from categories II \& III of APIs (cumulative) |
| :---: | :---: | :---: | :---: |
| Selection Committee criteria / weightages (Total Weightages $=$ 100) | a) Academic Record and <br> Research Performance (50\%) <br> b) Assessment of Domain <br> Knowledge \& Teaching Skills (30\%) <br> c) Interview performance ( $20 \%$ ) | a) Academic Background (20\%) <br> b) Research performance based on API <br> score and quality of publications (40\%) <br> c) Assessment of Domain Knowledge and Teaching Skills ( $20 \%$ ) <br> d) Interview performance: ( $20 \%$ ) | a) Academic Background (20\%) <br> b) Research performance based on API <br> score and quality of publications (40\%). <br> c) Assessment of Domain knowledge and Teaching Skills ( $20 \%$ ). <br> d) Interview performance:(20\%) |

## MINIMUM ACADEMIC PERFORMANCE AND SERVICE REQUIREMENTS FOR PROMOTION OFTEACHERS IN UNIVERSITIES AND COLLEGES

| S.No. | Promotion of Teachers through CAS | Service requirement | Minimum Academic Performance Requirements and Screening/Selection Criteria |
| :---: | :---: | :---: | :---: |
| 1 | Assistant <br> Professor/ equivalent cadres from Stage 1 to Stage 2 | Assistant Professor in Stage 1 and completed four years of service with Ph.D. or five years of service who are with M.Phil / PG Degree in Professional Courses such as LLM, M.Tech, M.V.Sc., <br> M.D., or six years of service who are without Ph.D/ M.Phil / PG Degree in Professional courses | (i) Minimum cumulative API scores using PBAS scoring proforma developed by the UGC as per the norms provided in Table II (A). <br> (ii) One Orientation and one Refresher / Research Methodology Course of $2 / 3$ weeks duration. <br> (iii) Screening cum Verification process for recommending promotion. |
| 2. | Assistant <br> Professor/ equivalent cadres from Stage 2 to Stage 3 | Assistant Professor with completed service of five years in Stage 2. | (i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table II(A) <br> (ii) One course / programme from among the categories of refresher courses, methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of $2 / 3$ week duration. <br> (iii) Screening cum Verification process for recommending promotion. |
| 3. | Assistant <br> Professor (Stage <br> 3) to Associate Professor (Stage 4) | Assistant Professors with three years of completed service in Stage 3. | (i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table II (A). <br> (ii) At least three publications in the entire period as Assistant Professor (twelve years). However, in the case of College teachers, an exemption of one publication may be given to $M$. Phil. holders and an exemption of two publications may be given to Ph . D. holders. <br> (iii) One course / programme from among the categories of methodology workshops, Training, Teaching-Learning Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of minimum one week duration. <br> (iv) A selection committee process as stipulated in the regulation and in Tables II(A). |
| 4. | Associate <br> Professor (Stage | Associate Professor with three years of completed | (i)Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided |


|  | 4) to Professor <br> (Stage 5) | service in Stage 4. |  |
| :---: | :--- | :--- | :--- |
| 5. | Professor (Stage <br> 5) to Professor <br> (Stage 6). | Professor with ten years of <br> completed service <br> (universities only) | (i) <br> tis |

in Table II (A). Teachers may combine two assessment periods (in Stages 2 and 3) to achieve minimum API scores, if required.
(ii) A minimum of five publications since the period that the teacher is placed in stage 3 .
(iii) A selection committee process as stipulated in the regulation and in Tables II (A).
(i) Minimum cumulative API scores for the assessment period as per the norms provided in Table II (A).
(ii) Additional credentials are to be evidenced by: (a) postdoctoral research outputs of high standard; (b) awards / honours / recognitions / patents and IPR on products and processes developed / technology transfer achieved; and (c) Additional research degrees like D.Sc., D.Litt., LL.D., etc.,
(iii) A review process by an Expert Committee as stipulated in this regulation and in Tables II (A)..

## APPENDIX - III: TABLE IV

## ACADEMIC PERFORMANCE INDICATORS (API) FOR CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS OF ASSISTANT DIRECTOR OF PHYSICAL EDUCATION \& SPORTS AND FOR COLLEGEDIRECTOR OF PHYSICAL EDUCATION \& SPORTS AND FOR DIRECT RECRUITMENT OF DEPUTY DIRECTOR AND DIRECTOR OF PHYSICAL EDUCATION \& SPORTS IN UNIVERSITIES.

Direct Workload and weightage to be given to different levels of Physical Education Personnel

|  | Direct working hours per week | Weightage |
| :---: | :---: | :---: |
| Assistant Director of Physical <br> Education | 40 | 100 |
| Deputy Director of Physical Education | $36+4^{*}$ | 90 |
| Director of Physical Education | $32+8^{*}$ | 80 |

Based on the Physical Education Personnel's self-assessment, API scores are proposed for (a) Lecture cum practice based athlete / sports classes coaching and training related activities; (b) Organizing and conducting sports and games competitions and management related activities; and (c) upgradation of sports infrastructure and extension services etc. The minimum API score required by Physical Education Personnel from this category is different for different levels of promotion. The self assessment score should be based on objectively verifiable records. It shall be finalized by the screening cum evaluation / selection committee. Universities may detail the activities, in case institutional specificities require, adjust the weightages without changing the minimum total API scores required under this category.

## *Hours spent on administrative responsibilities, innovation, upgradation of services, extension services etc. CATEGORY I: TEACHING, TRAINING, COACHING, SPORTS PERSON DEVELOPMENT AND SPORTS MANAGEMENT ACTIVITIES

| Nature of Activity | Assistant Director / College Director |  | Deputy Director |  | Director |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Max. Score | Actual Score | $\begin{aligned} & \text { Max. } \\ & \text { Score } \end{aligned}$ | Actual Score | Max. Score | Actual Score |
| a) Lecture cum practice based athlete / sports classes, seminars undertaken as per allotted hours /organizing and conducting coaching camps / sports person development / training programmes (50 Points) <br> Identifying sports talents and Mentoring sports excellence among students <br> (20 Points) <br> Development and maintenance of play fields, purchase and maintenance of other sports facilities <br> (10 Points) | 80 | Actual hours <br> spent per <br> academic <br> year <br> $\div$ <br> $\mathbf{1 7 . 5}$ | 70 | Actual hours spent per academic year $\div$ <br> 17.25 | 60 | Actual hours spent per academic year $\div$ <br> 16.75 |


| b) Management of Physical Education \& Sports Program for students (planning, executing and evaluating the policies in physical education \& Sports) <br> (10 Points) <br> Organizing and conducting sports and games competitions at the International / National / State / <br> Inter University/Inter Zonal Levels | 10 | Actual hours spent per academic year $\div$ 10 | 10 | Actual hours spent per academic year $\div$ 10 | 10 | Actual hours spent per academic year $\div$ 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| c) Upgradation of scientific and technological knowledge in Physical Education and Sports (10 Points) <br> Extending services, sports facilities and training on holidays to the institutions and organizations (10 Points) | 10 | Actual hours spent per academic year $\div$ 10 | 10 | ```Actual hours spent per academic year \div 10``` | 10 | Actual hours spent per academic year $\div$ 10 |

## CATEGORY II: PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES

Based on the Physical Education Cadre's self-assessment, category II API scores are proposed for co-curricular and extension activities; and Professional development related contributions. A list of items and scores is given below. The self-assessment score should be based on objectively verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Director of Physical Education / College Director of Physical Education \& Sports to higher grades and selection committee for the promotion of Assistant DPE\&S to Deputy DPE\&S and for direct recruitment of Deputy DPE\&S and DPE\&S.
The model table below gives groups of activities and API scores. Universities may detail the activities or, in case institutional specificities require, adjust the weightages without changing the minimum total API score required under this category.

| Nature of Activity | Maximum <br> API Score | Actual score |
| :--- | :---: | :---: |
| a) Student related co-curricular, extension and field based activities <br> (i) Discipline related co-curricular activities (Cultural, Sports, NSS, NCC etc.) <br> (various levels of intramural and extramural programmes) <br> (ii) Extension and dissemination activities (public /popular lectures/talks/seminars <br> etc.) | 15 | Actual hours spent <br> per academic year <br> $\div$ <br> $\mathbf{1 0}$ |
| b) Contribution to Corporate life and management of the sports units and institution <br> through participation in sports and administrative committees and <br> responsibilities(including as Principal / Director / Convener / similar other duties <br> that require regular office hrs for its discharge) | 15 | Actual hours spent <br> per academic year <br> $\div$ <br> $\mathbf{1 0}$ |
| c) Professional Development activities (such as participation in seminars, <br> conferences, short term training courses, camps \& events, talks, lectures in refresher <br> / faculty development courses, membership of associations, dissemination and <br> general articles and any other contribution) | 15 | Actual hours spent <br> per academic year <br> $\div$ <br> $\mathbf{1 0}$ |

## CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

Based on the self-assessment, API scores are proposed for research and sports contributions. The minimum API scores required from this category are different for different levels of promotion in universities/colleges. The self-assessment score shall be based on verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Director of Physical Education \& Sports to higher grades and Selection Committee for the promotion of Assistant Director of Physical Education \& Sports to Deputy Director of Physical Education \& Sports and for direct recruitment of Deputy Director of Physical Education \& Sports and Director of Physical Education \& Sports.

| Category | Activity | Faculties of Physical Education\& Sports | Max.score for <br> University/College DPE* |
| :--- | :--- | :--- | :--- |


$\qquad$

* Wherever relevant, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points: (v) papers with impact factor above 10 by 25 points. The API for joint publications/books shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor of the teacher would share equally $70 \%$ of the total points and the remaining $30 \%$ would be shared equally by all other authors.
\# The University shall identify the journals subject-wise through subject expert committees and forward the recommendations to UGC in the format prescribed by UGC for approval of the UGC Standing Committee. The journ als approved from this list, by the UGC Standing Committee, shall be included in the -List of Journalsll notified by the UGC. The UGC Standing Committee shall give its recommendations within 60 working days of the receipt of the list from the University. The UGC Standing Committee may also, suo-moto, recommend journals for inclusion in the -List of Journalsll. The clause 6.0 .5 (i) will be strictly followed by the University.


## APPENDIX - III TABLE - V (A)

## MINIMUM APIs AS PROVIDED IN APPENDIX - III TABLE ITO BE APPLIED FOR THE CAREER ADVANCEMENT SCHEME (CAS) PROMOTION OF ASSISTANT/COLLEGE DIRECTOR AND DEPUTY DIRECTOR OF PHYSICAL EDUCATION AND WEIGHTAGES FOR EXPERT ASSESSMENT IN SELECTION COMMITTEES, IN UNIVERSITIES AND COLLEGES

| Category | Activity | Assistant / <br> College <br> Director of <br> Physical <br> Education <br> (Stage 1 to <br> Stage 2) | Assistant / <br> College <br> Director of <br> Physical <br> Education <br> (Stage 2 to <br> Stage 3) | Assistant/College Director of Physical Education(Stage 3) to Deputy/College Director of Physical Education (Stage 4) | Deputy Director of Physical Education (Stage 4) to Director of Physical Education (Stage 5) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| I | Teaching, training, coaching, sports person development and sports management activities | 80/Year | 80/year | 75/year | 70/year |
| II | Professional <br> Development and Extension activities - <br> Minimum score required to be assessed cumulatively | $\quad \quad 50 /$Assessment <br> period | 50 / <br> Assessment period | $50 /$ <br> Assessment period | $50 /$ <br> Assessment period |
| III | Research and Academic Contributions Minimum score required - to be assessed cumulatively | $\quad 1 \quad 20 /$ <br> Assessment <br> period | 50 / <br> Assessment period) | $75 /$ Assessment period | $100 /$ Assessment period |
| II + III | Minimum total API score under Categories II and III* | $\quad 90 /$ <br> Assessment <br> period | 120 / <br> Assessment period) | $150 /$ Assessment period | $180 /$ <br> Assessment period |
|  | Expert Assessment System | Screening cum evaluation committee | Screening cum evaluation committee | Selection Committee | Selection Committee |
| V | Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage $=100$. Minimum required 50) | No separate points. Screening Committee to verify API scores | No separate points. Screening Committee to verify API scores | 30\%-Research contribution 50\% - Assessment of domain knowledge \& teaching practices. 20\%- Interview performance | 50\%-Research contribution. <br> $30 \%$ - Assessment of domain knowledge and teaching practices. $20 \%$ - Interview |

* One may score the balance of points from either Category II or Category III to achieve the minimum score required under Category II + III.


## APPENDIX - III TABLE - V(B)

Minimum Scores for APIs for direct recruitment of Physical Education Cadres in Universities / Colleges, and weightages in Selection Committees to be considered along with other specified eligibility qualifications stipulated in the Regulation.

|  | Assistant DPE (Stage <br> $1)$ | Deputy DPE (Stage 4) | DPE (Stage 5) |
| :--- | :--- | :--- | :--- |
| Minimum API <br> Scores | Minimum <br> Qualification as <br> stipulated in the <br> regulations | Consolidated API score requirement <br> of 300 points from categories II \& III <br> of APIs (cumulative) | Consolidated <br> requirement of 400 points from <br> categories II \& III of APIs <br> (cumulative) |
| Selection <br> Committee <br> criteria / <br> weightages <br> $($ Total Weightages <br> $=100)$ | a) Track Record of <br> championship won <br> (30\%) <br> b) Sports and athletic <br> skills (40\%) <br> c) Interview <br> performance (30\%) | a) Research papers (3 nos) <br> evaluation:(40\%) <br> b) Organisational skills / Plans of <br> sports (30\%) <br> c) Interview performance (30\%) | a) Research papers (5 nos) <br> evaluation (50\%) <br> b) Organisational track vision <br> plan: (25\%) <br> c) Interview performance (25\%) |

## APPENDIX-III - TABLE VI

MINIMUM ACADEMIC PERFORMANCE AND SERVICE REQUIREMENTS FOR PROMOTION OF PHYSICAL EDUCATION CADRES IN UNIVERSITIES AND COLLEGES

| Sl.No. | Promotion of <br> Physical Education Cadres through CAS | Service (as prescribed by the MHRD Notification) requirement | Minimum Academic Performance Requirements and Screening/Selection Criteria |
| :---: | :---: | :---: | :---: |
| 1 | Assistant DPE/ College DPE to Assistant DPE (Senior Scale) / College DPE (Senior Scale) (Stage 1 to Stage 2) | Assistant DPE $/$ <br> College  DPE completed four years of service in Stage 1 with Ph.D. or five years of service with M.Phil. or six years of service without Ph.D./ M.Phil | (i) Minimum cumulative API scores using PBAS scoring proforma developed by the UGC as per the norms provided in Table $\mathrm{V}(\mathrm{A})$. <br> (ii) One Orientation and one Refresher / Research Methodology Course of $3 / 4$ weeks duration. <br> (iii) Screening cum Verification process for recommending promotion. |
| 2. | Assistant DPE  <br> (senior scale) $/$ <br> College DPE  <br> (senior scale) to <br> Deputy DPE $/$ <br> Assistant DPE  <br> (selection grade) $/$  <br> College DPE  <br> (selection grade)  <br> (Stage 2 to Stage <br> 3)   | Assistant DPE (senior scale) College DPE (senior scale) with completed service of five years in Stage 2 | (i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table $\mathrm{V}(\mathrm{A})$ <br> (ii) One course / programme from among the categories of refresher courses, methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of $3 / 4$ week duration. <br> (iii) Screening cum Verification process for recommending promotion. |
| 3. | Assistant DPE <br> (Selection Grade) <br> / College DPE <br> (Selection Grade) <br> to Deputy DPE / <br> College DPE <br> (Selection Grade) <br> (Stage 3 to Stage <br> 4).  | Assistant DPE (Selection Grade) / College DPE (Selection Grade) with three years of completed service in Stage 3. | (i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table V(A). <br> (ii) At least three publications in the entire period as Assistant/College DPE (twelve years). However, in the case of College DPE, an exemption of one publication may be given to $M$. Phil. holders and an exemption of two publications may be given to Ph . D. holders. <br> (iii) Evidence of having produced.teams / athletes |


|  |  |  | (iv) A selection committee process as stipulated in the <br> regulation and in Tables V(A). |
| :---: | :--- | :--- | :--- |
| 4. | University DPE <br> (Stage 5) (For <br> universities only) | Deputy DPE in <br> universities with three <br> years of completed <br> service in Stage 4. | (i) Minimum cumulative API scores using the PBAS scoring <br> proforma developed by the UGC as per the norms provided <br> in Table V(A). Teachers may combine two assessment <br> periods (in Stages 2 and 3) to achieve minimum API scores, <br> if required. <br> (ii) A minimum of five publications since the period the <br> personnel is placed in stage 3 <br> (iii) Evidence of having produced. teams / athletes <br> (iv) A selection committee process as stipulated in the <br> regulation and in Tables V(A). |

Note: The explanatory note provided for Table IIA for CAS for teachers is also applicable for the Physical Director cadres as per the API score specified for this cadre.

## APPENDIX- III TABLE VII

## ACADEMIC PERFORMANCE INDICATORS (API) FOR PROMOTIONS OF ASSISTANT LIBRARIAN IN UNIVERSITIES / FOR COLLEGE LIBRARIAN UNDER CAREER ADVANCEMENT SCHEME (CAS) AND FOR DIRECT RECRUITMENT OF DEPUTY LIBRARIAN AND LIBRARIAN IN UNIVERSITIES.

Direct Work load and weightage to be given to different levels of Librarians

|  | Direct working hours per week | Weightage |
| :---: | :---: | :---: |
| Assistant Librarian/College Librarian | 40 | 100 |
| Deputy Librarian | $36+4^{*}$ | 90 |
| Librarian | $32+8^{*}$ | 80 |

Based on the Librarian Cadre's self-assessment, API scores are proposed for (a) Library resources organization and maintenance of books, journals, reports, Development, organization and management of e-resources; User awareness and instruction programmes, (b) ICT and other new technologies' application for upgradation of library services and (c) Additional services such as extending library facilities on holidays, shelf order maintenance, library user manual, building and extending institutional library facilities to outsiders through external membership norms. The minimum API score required by Library Personnel from this category is different for different levels of promotion. The self assessment score should be based on objectively verifiable records. It shall be finalized by the screening cum evaluation / selection committee. Universities may detail the activities, in case institutional specificities require, adjust the weightages without changing the minimum total API scores required under this category.
*Hours spent on administrative responsibilities, innovation, upgradation of services, extension services etc. CATEGORY I: Procurement, organization, and delivery of knowledge and information through Library services

| Nature of Activity | Univ.Assistant Librarian/College Librarian |  | Deputy Librarian |  | Librarian |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Max. Score | Actual Score | Max. Score | Actual Score | Max. Score | Actual Score |
| a) Library resources organization and maintenance of books, journals, reports; Provision of library reader- services, literature retrieval services to researchers and analysis of reports; Provision of assistance to the departments of University/College with the required inputs for preparing reports, manuals and related documents; Assistance towards updating institutional website with activity related information and for bringing out institutional Newsletters, (40 Points) <br> Development, organization and management of e-resources including their accessibility over Intranet / Internet, digitization of library | 70 | $\begin{aligned} & \text { Actual hours } \\ & \text { spent per } \\ & \text { academic } \\ & \text { year } \\ & \div \\ & \mathbf{2 0} \end{aligned}$ | 60 | Actual hours spent per academic year $\div$ 20 | 55 | Actual hours spent per academic year $\div$ 20 |


| resources, e-delivery of information, etc (15 Points) <br> User awareness and instruction programmes (Orientation lectures, users' training in the use of library services as e-resources, OPAC; knowledge resources user promotion programmes like organizing book exhibitions, other interactive latest learning resources, etc. (15 Points) |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| b) ICT and other new technologies' application for upgradation of library services such as automation of catalogue, learning resources procurement functions, circulation operations including membership records, serial subscription system, reference and information services, library security (technology based methods such as RFID, CCTV), development of library management tools (software), Intranet management | 15 | Actual hours spent per academic year $\div$ | 15 | Actual hours spent per academic year $\div$ | 15 | Actual hours spent per academic year $\div$ |
| c).Additional services such as extending library facilities on holidays, shelf order maintenance, library user manual, building and extending institutional library facilities to outsiders through external membership norms | 15 | Actual hours spent per academic year $\div$ | 15 | Actual hours spent per academic year $\div$ 10 | 10 | Actual hours spent per academic year $\div$ 10 |

## CATEGORY II: PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES

Based on the Librarian Cadre's self-assessment, category II API scores are proposed for co-curricular and extension activities; and Professional development related contributions. A list of items and scores is given below. The selfassessment score should be based on objectively verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Librarian / College Librarian to higher grades and selection committee for the promotion of Assistant Librarian to Deputy Librarian and for direct recruitment of Deputy Librarian and Librarian.

The model table below gives groups of activities and API scores. Universities may detail the activities or, in case institutional specificities require, adjust the weightages without changing the minimum total API score required under this category.
\(\left.\left.$$
\begin{array}{|l|l|l|}\hline \text { Nature of Activity } & \begin{array}{l}\text { Maximum } \\
\text { API Score }\end{array} & \text { Actual score } \\
\hline \begin{array}{l}\text { a) Student related co-curricular, extension and field based activities (such Cultural } \\
\text { exchange and Library service Programmes (various level of extramural and } \\
\text { intramural programmes); extension, library-literary work through different channels. }\end{array} & 15 & \begin{array}{l}\text { Actual hours spent } \\
\text { per academic year } \\
\div \\
\mathbf{1 0}\end{array} \\
\hline \begin{array}{l}\text { b) Contribution to Corporate life and management of the library units and institution } \\
\text { through participation in library and administrative committees and responsibilities. }\end{array} & 15 & \begin{array}{l}\text { Actual hours spent } \\
\text { per academic year } \\
\div\end{array} \\
\mathbf{1 0}\end{array}
$$\right] \begin{array}{l}Actual hours spent <br>
per academic year <br>

\div\end{array}\right]\)| $\mathbf{1 0}$ |
| :--- |
| c) Professional Development activities (such as participation in seminars, <br> conferences, short term, e- library training courses, workshops and events, talks, <br> lectures, membership of associations, dissemination and general articles, not covered <br> in Category III below) |

## CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

Based on the self-assessment, API scores are proposed for research and library contributions. The minimum API scores required from this category are different for different levels of promotion in universities/colleges. The self-assessment score shall be based on verifiable records and shall be finalized by the screening cum evaluation committee for the
promotion of Assistant Librarian / College Librarian to higher grades and Selection Committee for the promotion of Assistant Librarian to Deputy Librarian and for direct recruitment of Deputy Librarian and Librarian.

| Category | Activity | University/College Librarians | Max.score * |
| :---: | :---: | :---: | :---: |
| III (A) | Research Publications in | Refereed Journals as notified by the UGC\# | 25 per Publication |
|  |  | Other Reputed Journals as notified by the UGC\# | 10 per Publication |
| III (B) | Publications other than journal articles (books, chapters in books) | Text/Reference Books, published by International Publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. | 30 per Book for Single Author |
|  |  | Subject Books, published by National level publishers with ISBN/ISSN number or State / Central Gov Publications as approved by the University and posted or its website. The List will be intimated to UGC. | 20 per Book for Single Author |
|  |  | Subject Books, published by Other local publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. | 15 per Book for Single Author |
|  |  | Chapters in Books, published by National and International level publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. | International -10 per Chapter National - 5 per Chapter |
| III (C) | RESEARCH PROJECTS |  |  |
| $\begin{aligned} & \text { III (C) } \\ & \text { (i) } \end{aligned}$ | Sponsored Projects | Major Projects with grants above Rs. 5 lakhs | 20 per Project |
|  |  | Major Projects with grants above Rs. 3 lakhs up to Rs. 5 lakhs | 15 per Project |
|  |  | Minor Projects with grants above Rs. 1 lakh up to Rs. 3 lakhs | 10 per Project |
| $\begin{aligned} & \hline \text { III } \\ & \text { (C)(ii) } \end{aligned}$ | Consultancy Projects | Amount mobilized with a minimum of Rs. 2 lakhs | 10 for every Rs. 2 lakhs |
| $\begin{aligned} & \text { III } \\ & \text { (C)(iiii) } \end{aligned}$ | Projects Outcome / Outputs | Major Policy document prepared for international bodies like WHO/UNO/UNESCO/UNICEF etc. Central / State Govt./Local Bodies prepared | Major policy document of <br> International bodies - 30 <br> Central Government - 20, <br> State Govt.-10 <br> Local bodies - 5 |
| III (D) | RESEARCH GUIDANCE |  |  |
| III(D)(i) | M.Phil. | Degree awarded | 5 per candidate |
| III(D)(ii) | Ph.D. | Degree awarded / Thesis submitted | 15/10 per candidate |
| III E | Awards / Fellowships/Invited lectures delivered / papers presented in conferences / seminars |  |  |
| III(E) (i) | Award / Fellowship | International Award/Fellowship from academic bodies/ associations | 15 per Award / 15 per Fellowship |
|  | Award / Fellowship | National Award/Fellowship academic bodies/ associations | 10 per Award / 10 per Fellowship |
|  | Award/Fellowship | State / University Award/Fellowship from academic bodies/associations | 5 Per Award |
| $\begin{aligned} & \hline \operatorname{III}(\mathrm{E}) \\ & \text { (ii) } \end{aligned}$ | Invited lectures / papers presented | International | 7 per lecture / 5 per paper presented |
|  |  | National level | 5 per lecture / 3 per paper presented |
|  |  | State/University level | 3 per lecture / 2 per paper presented |
|  | The score under this sub-category shall be restricted to $20 \%$ of the minimum fixed for Category III for any assessment period |  |  |


| III(E) <br> (iii) | Development of e-delivery process/material | 10 per module |
| :--- | :--- | :--- |

* Wherever relevant, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points: (v) papers with impact factor above 10 by 25 points. The API for joint publications/books shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor of the teacher would share equally $70 \%$ of the total points and the remaining $30 \%$ would be shared equally by all other authors.
\# The University shall identify the journals subject-wise through subject expert committees and forward the recommendations to UGC in the format prescribed by UGC for approval of the UGC Standing Committee. The journals approved from this list, by the UGC Standing Committee, shall be included in the -List of Journals\| notified by the UGC. The UGC Standing Committee shall give its recommendations within 60 working days of the receipt of the list from the University. The UGC Standing Committee may also, suo motu, recommend journals for inclusion in the -List of Journalsll. The clause 6.0 .5 (i) will be strictly followed by the University.


## APPENDIX - III TABLE - VIII (A)

## MINIMUM APIS FOR THE CAREER ADVANCEMENT SCHEME (CAS) PROMOTION OF ASSISTANT/COLLEGE LIBRARIAN AND DEPUTY LIBRARIAN AND WEIGHTAGES FOR EXPERTASSESSMENT IN SELECTION COMMITTEES, IN UNIVERSITIES AND COLLEGES

| Category | Activity | Assistant / <br> College <br> Librarian <br> (Stage 1 to <br> Stage 2) | Assistant / <br> College <br> Librarian <br> (Stage 2 to <br> Stage 3) | Assistant/College <br> Librarian (Stage 3) to <br> Deputy/College <br> Librarian (Stage 4) | Deputy Librarian (Stage 4) to Librarian (Stage 5) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| I | Procurement, organization, and delivery of knowledge and information through Library services | 80/Year | 80/year | 75/year | 70/year |
| II | Professional <br> Development and Extension activities - <br> Minimum score required to be assessed cumulatively |  | 50 / <br> Assessment period | $50 /$ <br> Assessment period | $50 /$ <br> Assessment period |
| III | Research and <br> Academic <br> Contributions - <br> Minimum Score <br> required - to be <br> assessed cumulatively | 20 / <br> Assessment period | $50 /$ <br> Assessment period | $75 \text { / }$ <br> Assessment period | $100 /$ <br> Assessment period |
| II + III | Minimum total API score under Categories II and III* | $90 \text { / }$ <br> Assessment period | 120 / <br> Assessment period | $150 /$ Assessment period | $180 /$ <br> Assessment period |
|  | Expert Assessment System | Screening cum evaluation committee | Screening cum evaluation committee | Selection Committee | Selection Committee |
| IV | Percentage <br> Distribution of Weightage Points in the Expert Assessment (Total weightage $=100$. | No separate points. <br> Screening committee to verify API scores | No separate points. <br> Screening committee to verify API scores | 30\% - Library related research papers evaluation $50 \%$ - Assessment of domain knowledge on Library automation and | 50\% Library publication work 30\% Assessment of innovative Library service and organization of digital library |


|  | Minimum required <br> $50)$ ) |  | Organizational skills <br> $20 \% \%-\quad$ Interview <br> performance | services 20\% <br> Interview <br> performance |
| :--- | :--- | :--- | :--- | :--- | :--- |

* One may score the balance points from either Category II or Category III to achieve the minimum score required under Category II+ III.

APPENDIX - III TABLE - VIII (B)
Minimum APIs and Other Norms for the Direct Recruitment of Librarian Positions in University Departments/Colleges and weightages in Selection Committees to be considered along with other specified eligibility qualifications stipulated in the Regulation.

| Minimum Norm / Criteria | Assistant University Librarian / College Librarian (Stage 1) | Deputy $\quad$Librarian <br> universities (Stage 4) | Librarian (university only) (Stage 5) |
| :---: | :---: | :---: | :---: |
| API score (Research and Academic Contribution Category III) | Minimum Qualification as stipulated in the regulations | Consolidated API score requirement of 300 points from categories II \& III of APIs (cumulative) | Consolidated API score  <br> requirement of 400 points  <br> from categories II $\&$ III of <br> APIs (cumulative)    |
| Selection Committee criteria/weightages $($ Total weightage $=$ 100) | a) Teaching / computer and communication skills by a Lecture demonstration (50\%) <br> b) Record of Library management skills (20\%) <br> c) Interview performance (30\%) | a) Library related Research / <br> Theme papers ( 3 Nos) <br> Evaluation: (50\%) <br> .b) Library automation skills and Organizational Plans (20\%) <br> .c) Interview performance (30\%) | a) Library Research papers (Five) evaluation (60\%) <br> b) organizational track record of innovation library service and vision plan ( $20 \%$ ) <br> c) Interview performance ( $20 \%$ ) |

## APPENDIX-III - TABLE IX

## MINIMUM ACADEMIC PERFORMANCE AND SERVICE REQUIREMENTS FOR PROMOTION OFLIBRARIAN CADRES IN UNIVERSITIES AND COLLEGES

| Sl.No. | Promotion of Librarian Cadres through CAS | Service (as prescribed by the MHRD Notification) requirement | Minimum Academic Performance Requirements and Screening/Selection Criteria |
| :---: | :---: | :---: | :---: |
| 1 | Assistant <br> Librarian/ College <br> Librarian to <br> Assistant Librarian <br> (Senior Scale) / <br> College Librarian <br> (Senior Scale) <br> (Stage 1 to Stage <br> 2) | Assistant Librarian/ College $\quad$ Librarian completed four years of service in Stage 1 with Ph.D. or five years of service with M.Phil. or six years of service without Ph.D./ M.Phil | (i) Minimum API scores using PBAS scoring proforma developed by the university as per the norms provided in Table VIII (A) of Appendix III for Librarian cadres in universities and for college Librarian cadres. <br> (II)One Orientation and one Refresher Course of $3 / 4$ weeks duration <br> (iii) Screening cum Verification process for recommending promotion. |
| 2. | Assistant Librarian (senior scale) / College Librarian (senior scale) to Assistant Librarian (selection grade) / College Librarian (selection grade) (Stage 2 to Stage 3) | Assistant Librarian (senior scale) / College Librarian (senior scale) with completed service of five years in Stage 2 | (i) Minimum API scores using the PBAS scoring proforma developed by University as per the norms provided in Table VIII (A) of Appendix III for Librarian Cadres in universities and for college librarian cadres. <br> (ii) Additionally, two refresher courses, for a minimum period of 3 to 4 week duration to have been undergone during the assessment period. <br> (iii) Screening cum Verification process for recommending promotion. |
| 3. | Assistant Librarian (Selection Grade) / College Librarian (Selection Grade) | Deputy Librarian / Assistant Librarian (Selection Grade) / College Librarian | (i) Minimum API scores using the PBAS scoring proforma developed by university as per the norms provided in Table VIII (A) of Appendix III. Three publications over twelve years. In Colleges, an exemption of one publication |


|  | to Deputy <br> Librarian / College <br> Librarian(Selection <br> Grade)(Stage 3 to <br> Stage 4) | (Selection Grade) with <br> three years of <br> completed service in <br> Stage 3. | will be given to M. Phil holders and two publications to Ph. <br> D. Holders. <br> (ii) Additionally one course/training under the <br> categories of Library automation / Analytical tool <br> Development for academic documentation. <br> (iii) A selection committee process as stipulated in the <br> Regulation and in Table VIII (A) |
| :--- | :--- | :--- | :--- |
| 4. | University <br> Librarian (Stage 5) <br> (For universities <br> only) | Deputy Librarian in <br> universities with three <br> years of completed <br> service in Stage 4. | (i) Minimum cumulative API scores using the PBAS scoring <br> proforma developed by the UGC as per the norms provided <br> in Table VIII (A). Librarians may combine two assessment <br> periods (in Stages 3 and 4) to achieve minimum API scores, <br> if required. <br> (ii) A minimum of five publications since the period that the <br> teacher is placed in stage 3 <br> (iii) Evidence of innovative library service and organization <br> of published work. <br> (iv) A selection committee process as stipulated in the <br> regulation and in Table VIII (A) |

Note: The explanatory note provided for Table IIA for CAS for teachers is also applicable for the Librarian cadres as per the API score specified for this cadre.


[^0]:    * Teachers may score the balance of points from either Category II or Category III to achieve the minimum score required under Category II + III.

